



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kwok-Wai Lem et al.

Docket No.: H0001203 (4820-5)

Serial No.: 10/026,570

Examiner: Frederick John Parker

Filed: December 20, 2001

Group Art Unit: 1762

Title: **PHYSICAL COLORED INKS AND COATINGS**

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January 21, 2003

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Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, applicants hereby notify the U. S. Patent and Trademark Office of the following documents, which are listed on the attached PTO/SB/08A form. The examiner may deem these documents to be relevant to patentability of the claims of the above-identified application. Copies of the documents are enclosed for the examiner's convenience.

The submission of the listed documents is not intended as an admission that the document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with MPEP §609.

Since the Information Disclosure Statement is being submitted after the mailing of the first Office Action, the Commissioner is hereby authorized to charge payment of the \$ 180.00 fee, as

well as any fees associated with this application or credit any overpayment to Deposit Account No.
02-4270.

Respectfully submitted,

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Leslie Gladstone Restaino

TC 1700

Leslie Gladstone Restaino

Reg. No. 38,893

Attorney for Applicants

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BRMFS1 304423v1

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/026,570
Filing Date	December 30, 2000
First Named Inventor	Kwok-Wai Lem
Group Art Unit	1762
Examiner Name	Frederick John Tanaka
Attorney Docket Number	H0001203 (4820-5)

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ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Petitions to the Commissioner
- ☐ Request For Corrected Filing Receipt With A Copy Of the Official Receipt
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☒ Information Disclosure Statement
 - ☒ Form PTO/SB/08A 73 References
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Petition to Make Special
 - ☐ Statement pertaining to pre-examination search; and
 - ☐ Detailed discussion of the references submitted with the Information Disclosure Statement

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s) - Sheets
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund
- ☐ CD, Number of CD(s)
- ☐ Express Abandonment Request

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Withdrawal of attorney as Attorney or Agent
- ☐ Other Enclosure(s) (please identify below):

Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Leslie Gladstone Restaino, Reg. No. 38,893
Signature	<i>Leslie S Restaino</i>
Date	January 21, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date 01/21/2003

Type or printed name	Marcy Mancuso	Date	January 21, 2003
Signature	<i>Marcy Mancuso</i>		